SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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l	OMB Number:	3235-0287									
l	Estimated average burden										
	hours per response:	0.5									

Check this box if no longer subject to	
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres	s of Reporting Perso DBERT W		2. Issuer Name and Ticker or Trading Symbol Pulse Biosciences, Inc. [PLSE]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner						
(Last) 611 S. FORT HA	(First) IRRISON AVE., S	(Middle) SUITE 306	3. Date 11/02/	of Earliest Transac 2017	tion (Mo	onth/D	ay/Year)		Officer (give title below)		ner (specify ow)				
[4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)							X	,							
CLEARWATER FL 33756								Form filed by More than One Reporting							
(City)	(State)	(Zip)								Person					
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownershi Form: Direct (D) or Indire (I) (Instr. 4)	Indirect				
				Code	v	Amount	(A) or	Price	Transaction(s)						

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			te Execution Date, Transaction of Expiration Date of Securities Derivative derivative Ownership of Indirect												
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, if any	Transa Code (of Derivati Securiti Acquire (A) or	ve es ed ed nstr.	Expiration Dat	te	of Securities Underlying Derivative Security		Derivative Security	derivative Securities Beneficially Owned Following	Ownership Form: Direct (D) or Indirect	of Indirect Beneficial Ownership
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$23.08	11/02/2017		A		65,000		11/02/2018 ⁽¹⁾	11/02/2027	Common Stock	65,000	\$0.00	65,000	D	

Explanation of Responses:

1. One-third (1/3) of the shares subject to the option will vest on November 2, 2018, and the remaining shares will vest in equal monthly installments thereafter over the following two year period, subject to the Reporting Person's continued service through each vesting date.

Remarks:

/s/ Brian Dow, as Attorney-in-

Fact

11/06/2017

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.